NITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

Examiner:

1648

to be assigned

Applicants:

Robert K. Evans et al.

Serial No.

10/578.955

Filed:

May 10, 2006

For: PRESERVATIVE-CONTAINING VIRUS

**FORMULATIONS** 

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR 1.97**

Sir:

- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- 3. Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents. P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

## INFORMATION DISCLOSURE STATEMENT

5. Pursuant to 37 C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120 are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

		RELATED APPLICATION			
	U. S. SERIAL NUMBER	FILING DATE	MERCK CASE		
	<u></u>				
If this is	inconvenient, additional copies will be submitted upon reques	t.			
6. li	n accordance with 37 C.F.R. 1.97, (check one)				
the	attached information is filed within three months of the filing da	ite of the captioned case.			
1 / 1	attached information is filed more than three months after the ce Action on the merits.	filing date but prior to the m	ailing of a first		
	attached information is filed before the mailing of a first Office mination under §1.114.	action after the filing of a re	equest for continued		
Offi othe	attached information is being filed more than three months after ce Action on the merits, but before the mailing date of a Final Approximate Provided HTML representation in the application. The enclosed automated and the sount No. 13-2755 for the fee required under 37 C.F.R. 1.17(p)	Action, Notice of Allowance horization is therefore give	, or an action that		
└── fron	h item of information contained in this Information Disclosure S n a foreign patent office in a counterpart foreign application not tement.				
fror fror	th item of information contained in the information disclosure some a foreign patent office in a counterpart application and this consignated in §1.56(c) more than thirty days prior to the filing of the	ommunication was not rece	ived by any individual		
fore afte	tem of information contained in this Information Disclosure Sta eign patent office in a counterpart foreign application, and, to the er making reasonable inquiry, was known to any individual desi on this prior to the filing of this Statement.	e knowledge of the person	signing the certification		
		Respectfully submitted,			
		1 Ph	_		
		By: Henry P. Wu			
		Attorney For Appl	icant(s)		
		Reg. No. <u>44,412</u> MERCK & CO., INC.			

Patent Dept., RY60-30

Rahway, N.J. 07065-0907

P.O. Box 2000

(732)594-5312

		Substitute for fo	ırm 1449A/PTO		•	(	COMPLETE IF KNOWN	
	٠	INFOR	MATION	DIS	CLOSURE	Application Number	10/578,955	
	61	PE	MIDNIT D	57 A TO		Filing Date	May 10, 2006	
	STATEMENT BY APPLICANT				PLICANI	First Named Inventor	Evans, Robert, K.	
	JUL	ي 6 2007	·)			Group Art Unit	1648	
1	*	(usela)	many shee	ets as n	ecessary)	Examiner Name	to be assigned	•••
	She	AAD WAS	1	of	2	Attorney Docket Number	21575P	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
	A01	2002/031527		Zheng Wu et al.	03/14/2002		
	A02	2003/0082206		Claude Sene	05/01/2003		
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			FOREI	GN PATENT	T DOCUMENTS	
Examiner Initials*	Cite No.	Office	Foreign Patent Document Number	t Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	B01	РСТ	WO 98/02522		Transgene S.A.	01/22/1998
	B02	PCT	WO 99/41416		Schering Corporation	08/19/1999
	B03	PCT	WO 00/61726		Aventis Pharma SA	10/19/2000
	B04	PCT	WO 01/66137		Merck & Co., Inc.	09/13/2001
	B05	PCT	WO 01/02607		Merck & Co., Inc.	01/11/2001
	B06	PCT	WO 02/22080		Merck & Co., Inc.	03/21/2002

Examiner Signature	Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

, s	ubstitute for form 1449B/PTO			COMPLETE IF KNOWN			
	Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)  Sheet 2 of 2	CLOSURE	Application Number	10/578,955			
6	TATEMENT DS/	A TO		Filing Date	May 10, 2006		
3	STATEMENT BY APPLICANT	First Named Inventor	Evans, Robert, K.				
			,	Group Art Unit	1648		
	(use as many sheets	as n	necessary)	Examiner Name	to be assigned		
Sheet	2	of	2	Attorney Docket Number	21575P		

		NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.
	C01	Brody et al. "Adenovirus-Mediated In Vivo Gene Transfer", Annals New York Academy of Sciences, Vol. 716, pp 90-101 (1994)
	C02	Croyle et al. "Factors that Influence Stability of Recombinant Adenoviral Preparations for Human Gene Therapy", Pharmaceutical Development and Technology, 3(3), pp 373-383 (1998)
	C03	Gray et al. "Compatibility of Various Preservatives with Live Virus Vaccines", International Symposium on Preservatives in Biological Products, Develop. Biol. Standard., Vol. 24, pp 123-129 (1974)
	C04	Hierholzer et al. "Adenoviruses from Patients with AIDS: A Plethora of Serotypes and A Description of Five New Serotypes of Subgenus D (Types 43-47)", The Journal of Infectious Diseases, Vol. 158, No. 4, pp 804-813 (October 1988)
	C05	Horne et al ."The Icosahedral Form of an Adenovirus", J. Mol. Biol. pp 84-86 (1959)
	C06	Horwitz et al. "Adenoviridae and Their Replication", in Fields Virology, Second Edition, pp 1679-1721, ((1990)
	C07	Jong et al. "Adenoviruses from Human Immunodeficiency Virus-Infected Individuals, Including Two Strains that Represent New Candidate Serotypes Ad50 and Ad51 of Species B1 and D, Respectively", Journal of Clinical Microbiology, Vol. 37, No. 12, pp 3940-3945, (1999)
	C08	Kebbe "Chlorobutanol", Handbook of Pharmaceutical Excipients, 3rd Ed., pp 126-128, (2000)
	C09	Kowalski et al. "The Survival of Adenovirus in Multidose Bottles of Topical Fluorescein", Amercian Journal of Ophthalmology, Volume 126, Issue 6, pp 835-836, (December 1998)
	C10	Nair et al. "The Kinetics of Degradation of Chlorobutanol", J. Am. Pharmaceutical Assoc., Volume XLVII, pp 390-395, (1959)
-	C11	NyBerg-Hoffman et al. "Instability of Adenoviral Vectors during Transport and its Implication for Clinical Studies", Nature Medicine Inc., Volume 5, Number 8, pp 955-956, (August 1999)
	C12	Romanowski et al. "The Survival of Herpes Simplex Virus in Multidose Office Ophthalmic Solutions", Volume 128, Issue 2, pp 239-240, (August 1999)
	C13	Schurr et al. "Two New Candidate Adenovirus Serotypes", Intervirology, Vol. 36, pp 79-83, (1993)
	C14	Straus et al. "Adenovirus Infections in Humans", in The Adenoviruses, Plenum Press, pp 451-496, (1984)
	C15	Wadell et al. "Genetic Variability of Adenoviruses", Annals New York Academy of Sciences, Vol. 354, pp 16-42, (1980)

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Examiner Signature		Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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